



COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 3

RECEIVED
AUG 24 2001
TECH CENTER 1600/2900

In the Application of BARNETT et al.

Serial No.: 09/610,313

Art Unit: ~~4633~~ 1635

Filed: July 5, 2000

Examiner: B. Whiteman

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
BW	AA-1	5,840,313	Nov. 24, 1998	Vahine et al.			
BW	AB-1	5,846,546	December 8, 1998	Hurwitz et al.			
BW	AC-1	5,876,731	March 2, 1999	Sia et al.			

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation YES NO
BW	AD-1	WO 96/30523	October 3, 1996	PCT/WIPO			
BW	AE-1	WO 98/34640	August 13, 1998	PCT/WIPO			
BW	AF-1	0 449 116 A1	October 2, 1991	EPO			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Examiner: *B. Whiteman*

Date Considered: 9/6/01

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



Atty Dkt No. 2302-1631.20
PP01631.101

RECEIVED
AUG 24 2001
TECH CENTER 1600/2900

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 2 of 3

In the Application of BARNETT et al.

Serial No.: 09/610,313

Art Unit: 1633/605

Filed: July 5, 2000

Examiner: B. Whiteman

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

Exam. Init.	Ref. Desig.	Description
BW	AG-1	✓ Andre et al., "Increased Immune Response Elicited by DNA Vaccination with a Synthetic gp 120 Sequence with Optimized Condon Usage," <i>Journal of Virology</i> 72(2):1497-1503 (1998);
	AH-1	✓ Barre-Sinoussi et al., "Isolation of a T-Lymphotropic Retrovirus from a Patient at Risk for Acquired Immune Deficiency Syndrome (AIDS)," <i>Science</i> 220:868-871 (1983);
	AI-1	✓ Clavel et al., "Isolation of a New Human Retrovirus from West African Patients with AIDS," <i>Science</i> 233:343-346 (1986)
	AJ-1	✓ Clavel et al., "Molecular Cloning and Polymorphism of the Human Immune Deficiency Virus Type 2," <i>Nature</i> 324:691-695 (1986)
	AK-1	✓ Guyader et al., "Genome Organization and Transactivation of the Human Immunodeficiency Virus Type 2," <i>Nature</i> 326:662-669 (1987)
BW	AL-1	✓ Freed, E.O., "HIV-1 Gag Proteins: Diverse Functions in the Virus Life Cycle," <i>Virology</i> 251:1-15 (1998)
	AM-1	✓ Haas et al., "Codon Usage Limitation in the Expression of HIV-1 Envelope Glycoprotein," <i>Current Biology</i> 6(3):315-324 (1996)
	AN-1	✓ Levy et al., "Isolation of Lymphocytopathic Retroviruses from San Francisco Patients with AIDS," <i>Science</i> 225:840-842 (1984)
BW	AO-1	✓ Montagnier et al., "Human T-Cell Leukemia Viruses: The Family of Human T-Lymphotropic Retroviruses: Their Role in Malignancies and Association with AIDS," <i>Gallo, Essex & Gross, eds., pp 363-379 (1984)</i>

Examiner:

B. Whiteman

Date Considered:

9/6/01

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Atty Dkt No. 2302-1631.20
PP01631.101

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 3 of 3

RECEIVED
AUG 24 2001
TECH CENTER 1600/2900

In the Application of BARNETT et al.

Serial No.: 09/610,313

Art Unit: ~~4633~~ 1671

Filed: July 5, 2000

Examiner: B. Whiteman

Title: POLYNUCLEOTIDES ENCODING ANTIGENIC HIV TYPE C POLYPEPTIDES, POLYPEPTIDES AND USES THEREOF

Exam. Init.	Ref. Desig.	Description
BW	AP-1	✓ Popovic et al., "Detection, Isolation, and Continuous Production of Cytopathic Retroviruses (HTLV-III) from Patients with AIDS and Pre-AIDS," <i>Science</i> <u>224</u> :497-500 (1984)
I	AQ-1	✓ Schneider et al., "Inactivation of the Human Immunodeficiency Virus Type 1 Inhibitory Elements Allows Rev-Independent Expression of Gag and Gag/Protease and Particle Formation," <i>Journal of Virology</i> <u>71</u> (7):4892-4903 (1997)
I	AR-1	✓ Vilmer et al., "Isolation of New Lymphotropic Retrovirus from Two Siblings with <i>Haemophilia B</i> , One with AIDS," <i>The Lancet</i> <u>1</u> :753 (1984)
BW	AS-1	✓ Wang et al., "Assembly of HIV GAG-B-Galactosidase Fusion Proteins into Virus Particles," <i>Virology</i> <u>200</u> :524-534 (1994)

Examiner:

Brian Whiteman

Date Considered:

8/16/01

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.